

CURRENT STATUS OF WORK IN AUDIT PLAN

AUDIT	STATUS
Corporate & Cross-Cutting	
Annual Governance Statement & Governance Support	Complete
Asset Management	Deferred
Business Continuity and Emergency Planning	Draft report issued
Data Quality	In progress
Document Management	Deferred
Governance	Deferred
Health & Safety	Reasonable Assurance
Health & Safety (follow-up)	No Opinion Given
Information Security	Reasonable Assurance
ICT Governance & Cyber Security	In progress
ICT Access Controls (follow-up)	No Opinion Given
Freedom of Information	Draft report issued
Overtime	In progress
PCI DSS	Substantial Assurance
Performance Management	Reasonable Assurance
Procurement – Waivers	Draft report issued
Procurement – Breaches	Draft report issued
Project Management – Miscellaneous Project Support	Complete

AUDIT	STATUS
Project Management – ASC Project Support	Complete
Register of Interests	Draft report issued
Scrutiny	Deferred
Use of Social Media	In progress
Whistleblowing (support)	Complete
Main Financial Systems	
Financial Transactions and Income Management	High Assurance (Financial Transactions) Reasonable Assurance (Income Management)
Council Tax & NNDR	In progress
Council Tax Support and Housing Benefits	In progress
Debtors	In progress
Housing Rents	Deferred
Main Accounting System	High Assurance
Ordering and Creditor Payments	Substantial Assurance
Payroll	In progress
Treasury Management	Draft report issued
Health, Housing and Adult Social Care	
Better Care Fund	Deferred
Community Safety Plan	Deferred

AUDIT	STATUS
Deprivation of Liberty Assessments	Draft report issued
Direct Payments	Draft report issued
Housing Performance	In progress
Public Health (support/advice)	Complete
Savings Plans (HHASC)	Draft report issued
Supporting Vulnerable People	No Opinion Given
Continuing Healthcare – Adults (addition to plan)	In progress
Economy and Place	
Bus Pass Usage Claims	Substantial Assurance
Car Parking	In progress
Procurement of Sub-Contractors	No Opinion Given
Property Income	In progress
Section 106 Agreements	In progress
Trading Standards	In progress
Taxi Licensing (addition to plan)	In progress
Children, Education and Communities	
Contributions to Care	In progress
High Needs SEN	In progress
Regional Adoption Agency	Deferred
Schools: Stockton-on-Forest Primary School	Draft report issued

AUDIT	STATUS
Westfield Community Primary School	High Assurance
Copmanthorpe Primary School	High Assurance
Skelton Primary School	Draft report issued